

~~SECRET~~ #3  
PATENT



Practitioner's Docket No. 2551.042

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Charles C. Freeny, Jr.

Application No.: 09/607,227                      Group No.: 2756  
Filed: June 30, 2000                      Examiner: Unknown  
For: AUTOMATED DATA DELIVERY SYSTEMS

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
- NONPROVISIONAL APPLICATION

*(check and complete this item, if applicable)*

- I.   X   This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed October 6, 2000.
- X   A copy of the Notice to File Missing Parts of Application - Filing Date Granted (Form PTO-1533) is enclosed.
- II.   X   (4 pages) No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OR

— The declaration or oath that was filed was determined to be defective. A new original oath or declaration is attached.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited on November 13, 2000 with the United States Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

Marc A. Brockhaus  
Name of applicant, assignee or  
registered representative

Marc Brockhaus  
Signature  
1

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(complete (c) or (d), if applicable)

Attached is a

- (c) ☐ Statement by a registered attorney that the application filed in the PTO is the application that the inventor executed by signing the declaration.
- (d) ☐ Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

#### AMENDMENT CANCELLING CLAIMS

III. ☐ Cancel claims \_\_\_\_\_ inclusive.

#### TRANSMITTAL OF ENGLISH TRANSLATION OF NONENGLISH LANGUAGE PAPERS

IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

#### SMALL ENTITY STATUS

V. ☒ Applicant qualifies for small entity status.

VI. ☒ Applicant's Assignee qualifies for small entity status.

#### COMPLETION FEES

VII. 1. Filing fee

<input type="checkbox"/> original patent application	\$ _____
<input type="checkbox"/> design application	\$ _____

2. Fees for claims

☐ each independent claim in excess

- of 3 \$ \_\_\_\_\_
- \_\_\_ each claim in excess of 20 \$ \_\_\_\_\_
- \_\_\_ multiple dependent claim(s) \$ \_\_\_\_\_
3. Surcharge fees
- X late payment of filing fee  
      and/or late filing of original  
      oath \$ 65.00
4. \_\_\_ Petition and fee for filing by other  
      than all the inventors or person  
      not the inventor \$ \_\_\_\_\_
5. \_\_\_ Fee for processing an application  
      filed with a specification in a  
      non-English language \$ \_\_\_\_\_
6. \_\_\_ Fee for processing and retention  
      of application \$ \_\_\_\_\_
7. X Assignment  
   (see Assignment Cover Sheet)
- Total completion fees \$ 105.00

#### EXTENSION OF TIME

**VII.** The proceedings herein are for a patent application, and the provisions of 37 CFR § 1.136(a) apply.

- (a) \_\_\_ Applicant petitions for an extension of time, the fees for which are set in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
___ one month	\$110.00	\$ 55.00
___ two months	\$380.00	\$190.00
___ three months	\$870.00	\$435.00

<u>      </u> four months	\$1,360.00	\$680.00
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Fee: \$\_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

       An extension for        months has already been secured, and the fee paid therefor of \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_

or

- (b)   X   Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

#### TOTAL FEE DUE

VIII. The total fee due is

Completion fee(s) \$ 105.00

Extension fee (if any) \$ \_\_\_\_\_

Total Fee Due \$ 105.00

#### PAYMENT OF FEES

IX.        Enclosed is a check in the amount of \$\_\_\_\_\_

  X   Charge Account No. 04-1700 in the amount of \$ 65.00 for completion of the application and \$40.00 for recording the Assignment (see Assignment recording sheet). A duplicate of this request is attached.

Please charge Account No. 04-1700 for any fees that may be due by this paper

#### AUTHORIZATION TO CHARGE ADDITIONAL FEES

X.     \_\_\_ The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. \_\_\_\_\_.

\_\_\_ 37 CFR § 1.16(a), (f) or (g) (filing fees)

\_\_\_ 37 CFR § 1.16(b), (c) and (d) (presentation of extra claims)

\_\_\_ 37 CFR § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

\_\_\_ 37 CFR § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

\_\_\_ 37 CFR § 1.17 (application processing fees)

\_\_\_ 37 CFR § 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.31(b))

*Marc Brockhaus*

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/607,227	06/30/2000	Charles C. Freeny JR.	2551.042

Dunlap Coddling and Rogers P C  
Suite 420  
9400 North Broadway  
Oklahoma City, OK 73114



## FORMALITIES LETTER



\*OC000000005458009\*

Date Mailed: 10/06/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*R. Tiscaye*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/30/2000 STEFFER 00000094 041700 09607227

01/10/2001 65.00 CH

*Adjustment*  
01/10/2001 STEFFER 00000094 09607227

01/10/2001 65.00 CH

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01/10/2001

130.00 CH

01/10/2001